

**PRINCIPLE F**

**Managing risks and performance through robust internal control and strong public financial management**

The Local Code should reflect the requirement of local authorities to:	Suggested systems, processes and documentation to demonstrate compliance	2016/17 Evidence of TDC Compliance	Action Req. (Y/N)	
<b>F1 Managing risk</b>			<b>100%</b>	
F1.1	Recognising that risk management is an integral part of all activities and must be considered in all aspects of decision making	Risk Management protocol	<p>Risk Management and "Horizon Scanning" are a standing item of the SMT agenda.</p> <p>All Committee reports include a Risk Management section to ensure this is considered during the decision making process</p> <p><a href="#">Example C&amp;R Report - August 2016</a></p>	
F1.2	Implementing robust and integrated risk management arrangements and ensuring that they are working effectively	Risk Management strategy/policy formally approved and adopted and reviewed and updated on a regular basis	<p>The Risk Strategy outlines RM roles and responsibilities for Officers and Members; the Risk Management process provides guidance to managers. Both documents were reviewed/updated in June 2015.</p> <p><a href="#">RM Strategy June15</a></p> <p><a href="#">RM Process June15</a></p> <p>A Risk Management Group meets on a regular basis and challenges the Corporate Risk Register and Operational Risk Registers, ensuring agreed actions are on target</p> <p><a href="#">Example RMG Minutes</a></p> <p>The Corporate Risk Register is a standing item on the A&amp;G Agenda</p> <p><a href="#">Example A&amp;G Agenda</a></p> <p>Operational Risk Registers have been integrated into the Business Plans to ensure that risks are considered and updated as part of the annual business planning process.</p> <p><a href="#">Governance - Business Plan 2016-19</a></p>	
F1.3	Ensuring that responsibilities for managing individual risks are clearly allocated.	Risk Management protocol	<p>Roles and Responsibilities of Members and Officers for managing risks are detailed in the Constitution</p> <p><a href="#">Constitution</a></p> <p>Risk Registers describe risks and assign owners and responsible officers are assigned for risk actions.</p> <p><a href="#">Example Service Risk Register - Customer Support</a></p>	